

Media & Promotions Policy

NSX Limited

(ABN 33 089 447 058)

National Stock Exchange of Australia Limited

(ABN 11 000 902 063)

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1. Introduction

Background

NSX Limited (“**NSXL**”) is committed to conducting its business in a way that is open and accountable to shareholders, its stakeholders, customers and the wider marketplace. NSXL believes that its corporate governance practices as a public company should be of a high and rigorous standard.

NSXL is the parent company of the National Stock Exchange of Australia Ltd (“**NSXA**”). NSXA holds an Australian Market Licence enabling NSXA to operate a Stock Exchange within Australia.

As a market licensee, NSXA has the statutory obligation to operate a market that is fair, orderly and transparent (“**FOT obligations**”)¹. Responsibility for NSXA meeting its statutory obligations as a market licensee rests with the board of NSXA and supported by the Board of NSXL.

This requirement is enshrined in the constitutions of NSX Limited and National Stock Exchange of Australia Limited.

If you are in doubt about the standards that are set by the Policy or your obligations under the Policy, you should speak to your manager.

Governance Standards and Requirements

The policy is reflective of the following governance standards:

- (a) The Constitution of NSX Limited;
- (b) The Corporations Act;
- (c) ASIC Regulatory Guides as varied from time to time;
- (d) While NSXL is the holding company of NSXA, reflect the requirements and conditions of the National Stock Exchange of Australia Australian Market Licence and published variations; and
- (e) While NSXL is listed on ASX, the ASX Corporate Governance Council Principles and Recommendations (the fourth edition), the ASX Listing Rules and Guidance Notes.

2. Purpose

The Media & Promotions Policy sets out the policy regarding the use of NSXL and NSXA trademarks, logos and corporate identity by others outside of the NSXL and NSXA.

The policy demonstrates guidelines when the NSXL and NSXA corporate identity can and cannot be used.

Organisations seeking use of NSXL and NSXA material should apply in writing to the NSXL for permission.

¹ Subsection 792A of the Corporations Act (2001) Cth



3. Corporate identity

The NSXL Corporate Identity includes the following:

- (a) NSXL and NSXA Logo and derivations;
- (b) NSXL and NSXA Website and data contained therein;
- (c) NSXL and NSXA Letter head and stationary;
- (d) NSXL and NSXA brochures, presentations and promotional materials;
- (e) NSXL and NSXA Listing and Business Rules and associated intellectual property;
- (f) NSXA Practice Notes; and
- (g) As determined by the Board from time to time.

4. Copyright

All our material is copyright and cannot be used without permission of NSXL or NSXA.

Information vendors, participants, nominated advisers and NSXA Listed entities may seek written permission for use of NSXL and NSXA materials.

Website links and other representations of NSXL and NSXA copyrighted material, once permission has been granted:

- (a) Links must be presented in a way which fairly represents our role and our site. They must not embarrass us.
- (b) The context in which you insert the link must not give your visitors the impression that your site, or your company, is sponsored or endorsed by us.
- (c) The context of the link must not suggest that we have created any of your content i.e. the source of the material must be clear.
- (d) You must never reproduce our logo or materials without express written approval from NSXL or NSXA

5. Staff in promotional material

NSXL and NSXA employees or contractors cannot be used for promotional purposes including photographs without the prior written permission of the NSXL and NSXA. From time to time Listed entities may wish to take photos of NSXL and NSXA staff attending listing ceremonies. Such permission must be sought prior to the ceremony.



6. Use of NSX offices and environs

The inside the NSXL offices must not be photographed without the written permission of the NSX.

The outside of the NSXL offices and environs can be photographed without the permission of NSXL as long as they do not show NSXL offices.

7. Promotion by the NSXA or of the NSXA

As a general policy NSXA Market cannot promote one Listed Company, Participant or Nominated Adviser over or ahead of another.

- (a) NSXA is able to provide educational and supporting material to be provided to company shareholders, representatives or potential customers of participants and nominated advisers.
- (b) Senior officers of the NSXA are able to meet with representatives of listed companies, participants and nominated advisers for the purposes of raising awareness about the NSXA, discussing NSXA business or for surveillance and compliance matters.
- (c) Senior officers of the NSXA are able to attend seminars, conferences or workshops organized by listed companies, participants and nominated advisers for the purposes of raising awareness of the NSXA amongst the intended audience.
- (d) The NSXA cannot advertise in any media feature organised by a company intending to list, a listed company, a participant or a nominated adviser. The NSXA is able to submit editorial content, an article or material about the NSXA only where the NSXA's role is clearly portrayed and does not intimate support of any listed company, participant or nominated adviser.
- (e) The NSXA has a policy of not appointing agents to operate on its behalf as this could be construed as promoting one organisation over or ahead of another.